



**MERCATOR
OCEAN**
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Towards a Mercator reanalysis and forecasting system using an Ensemble Kalman Filter

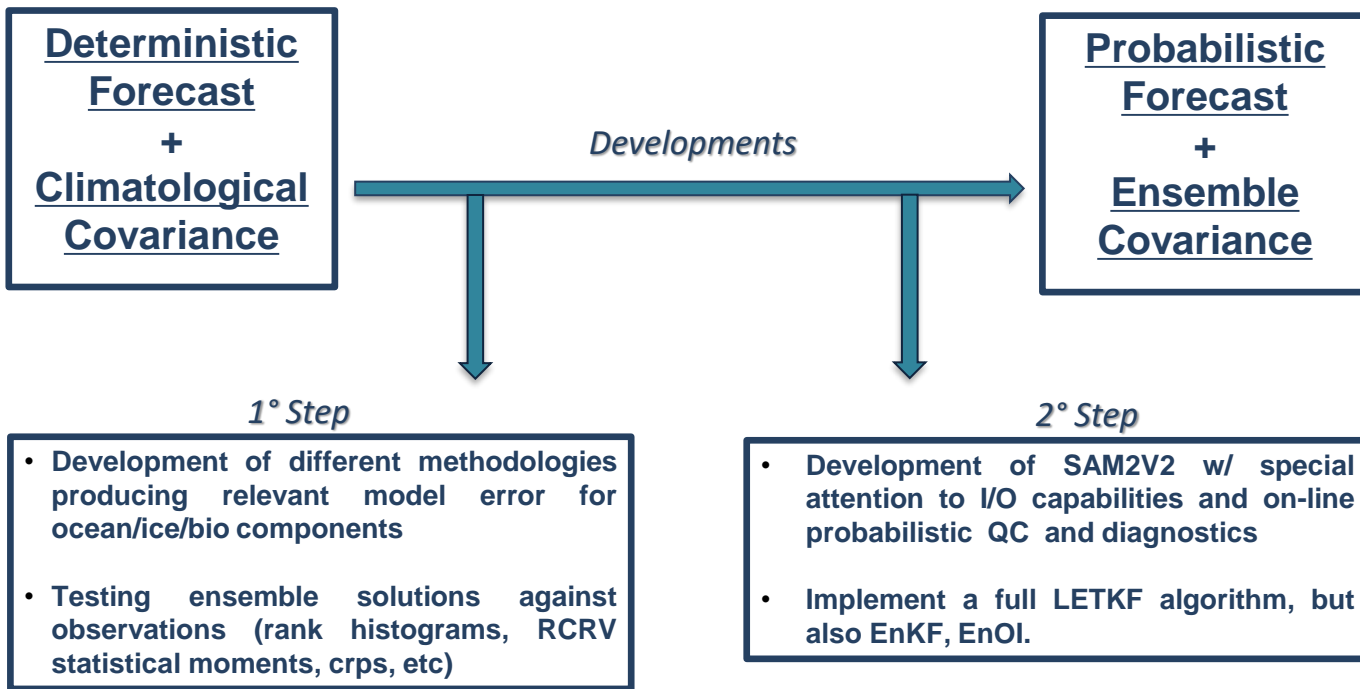
Charles-Emmanuel Testut, Giovanni Ruggiero, Mathieu Hamon, Laurent Parent, Jean-Michel Lellouche, Alette Chenal and Gilles Garric

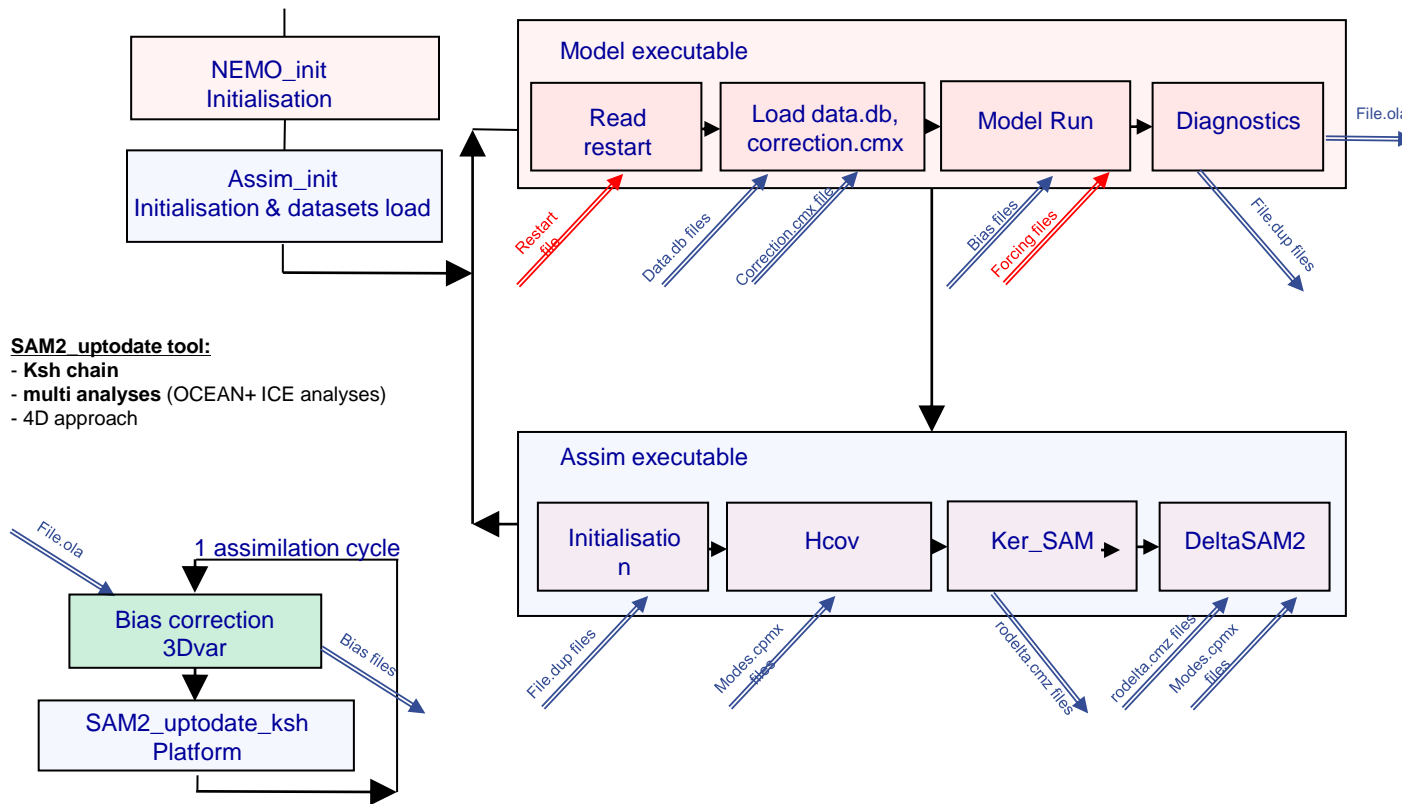
- 1. A new framework for the Mercator reanalysis and forecasting system**
- 2. Experiment design**
- 3. Some results**
- 4. Ensemble forecasting**
- 5. Conclusions and next steps**

A new framework for the Mercator reanalysis and forecasting system

- Currently MOI operates multi systems at multi resolution
 - They are all using the same DA approach (for covariance estimation and state update)
 - There is no interaction between them
- System complexity is increasing
 - Coupling: BGC - ocean, Sea-ice – ocean, ABL/ATM – ocean
 - Very High resolution, tides, Lagrangian vertical coordinates, multi grid
- Need to improve coherence between them through DA, otherwise improvements on one system may degrade the others (e.g improving the ocean state vector may disrupt “balance” on BGC variables)

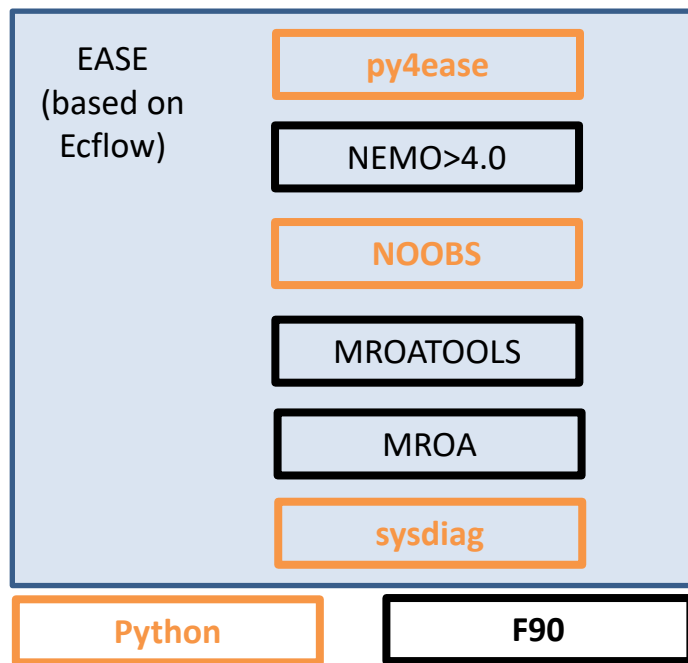
MOI is progressively moving towards ensemble approaches and coupled DA



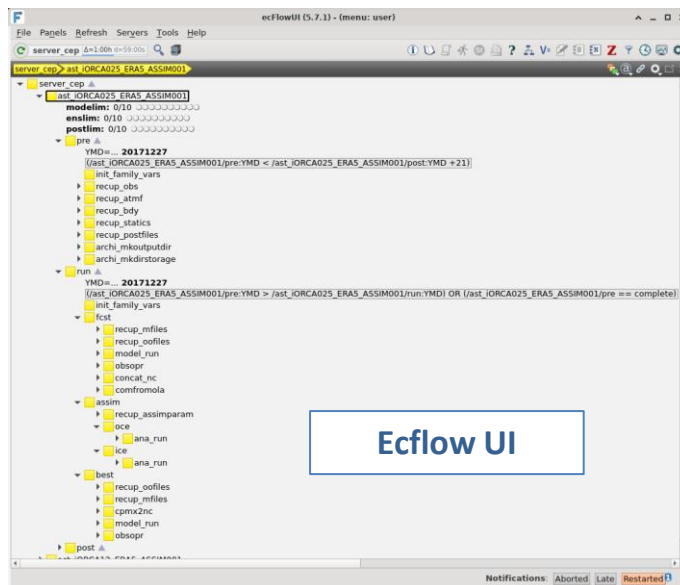
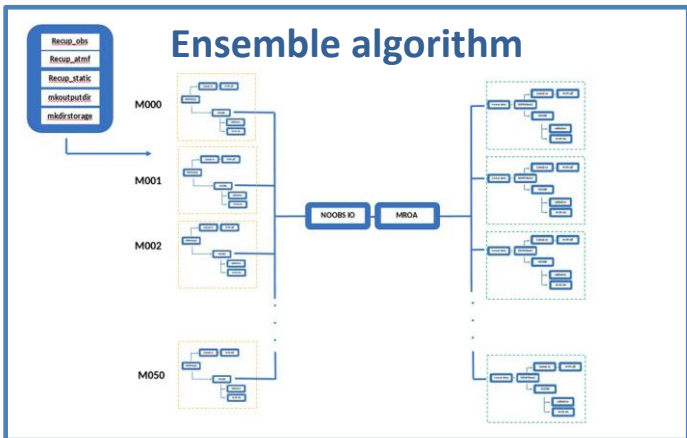
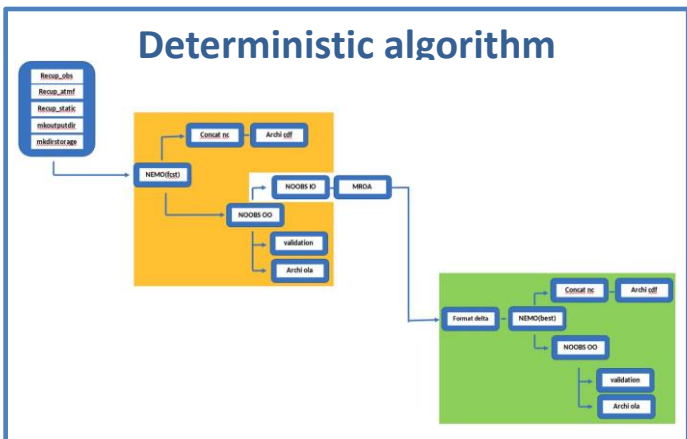


SAM2 platform is still used in the current deterministic operational system

A modular approach using Python and Fortran tools manage by a Python software (Ease) based on Eclflow library



- Flexible: used at MF and ECMWF (old and new HPC facilities)
- **NEMO** code is in a standalone mode and we can compile directly the nemo.exe from the Main
- The observation operator (**NOOBS**) is off-line
- The analysis (**MROA**) is also stand alone
- The information exchange between each module is done through the disk
- Tools may be used within the “EASE env” or in standalone mode (e.g., **NOOBS** is used by modelers, **sysdiag** is already used in the GLO12 workflow to be operational by Nov 2022)



Algorithms under development :

- Dual grid with a GLO12 model using a GLO4 analysis
- 3Dvar Bias correction
- Agrif configuration

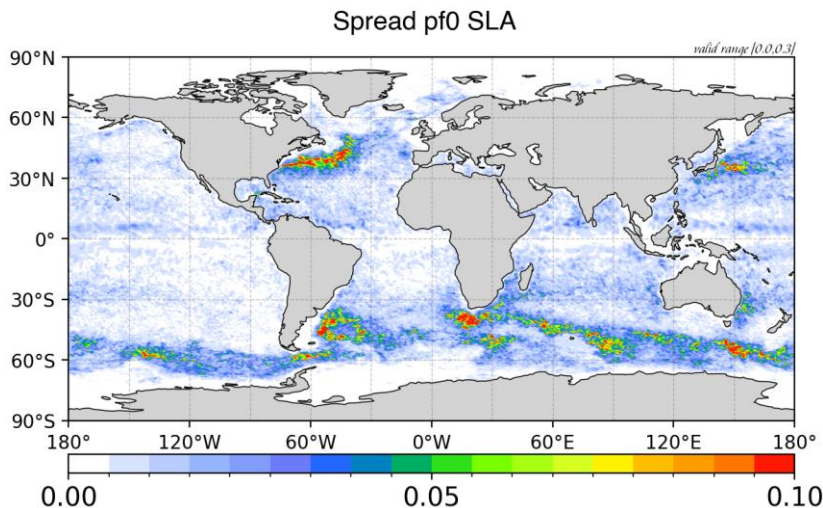
Experiment design

Model

- Nemo 4.2, SI3 Multi-category(5)
- Global $\frac{1}{4}$
- 75 levels
- IFS forcing

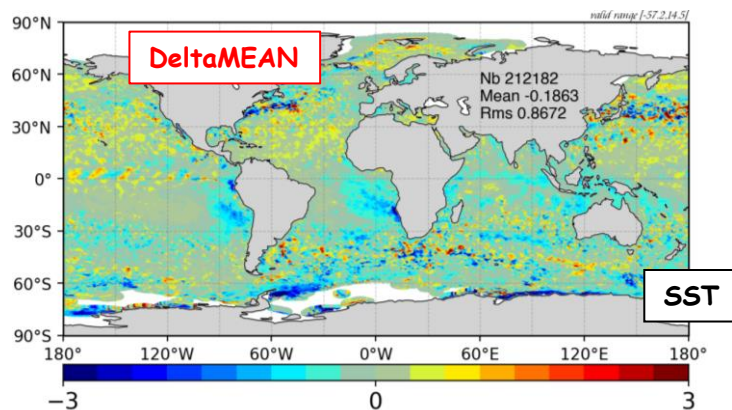
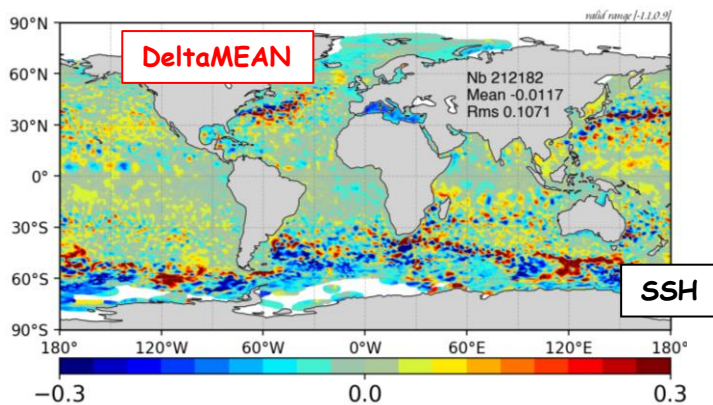
Ensemble run

- 8, 16 or 50 members + 1 CTL simulation (M000)
- Start from very short ensemble spinup builded from deterministic reanalysis with various CI around the initial day for each member (+- 8 days for the 16 members simulation)
- Stochastic pert. on ocean parameters perturbing advective and diffusive fluxes
- Stochastic pert. on ice parameters perturbing (P^* , C^*) and drag ocean-ice, drag ice-atm



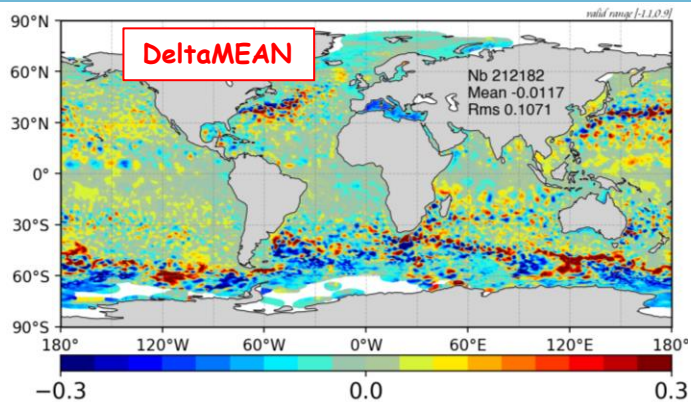
Analyses

- 7 days cycle
- Data assimilation scheme based on a 2D local multivariate LETKF filter
- Weakly-coupled DA system using 2 separate analyses
 - Ocean Analysis (SLA, InSitu Data from CORA, OSTIA SST) , IAU on (h,T,S,U,V)
 - Sea Ice Analysis (OSISAF Sea Ice Concentration)
- No 3Dvar analysis for the bias, no bogus
- Adaptive scheme for the variance of the background error

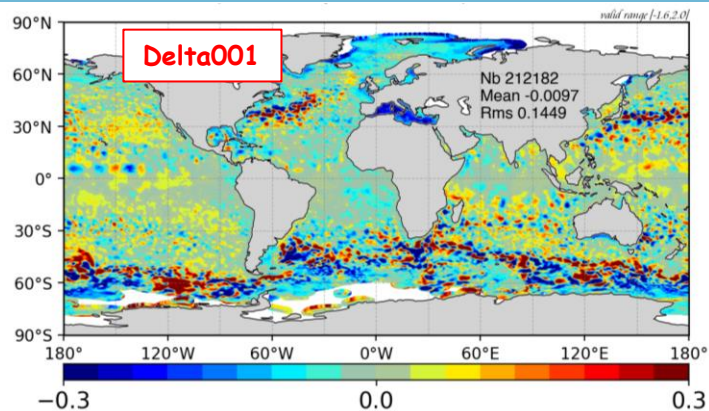


In a LETKF, Ensemble update is computed using the innovation of the ensemble mean

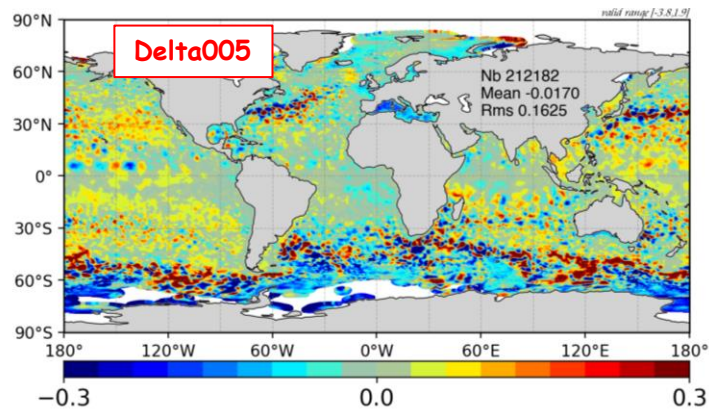
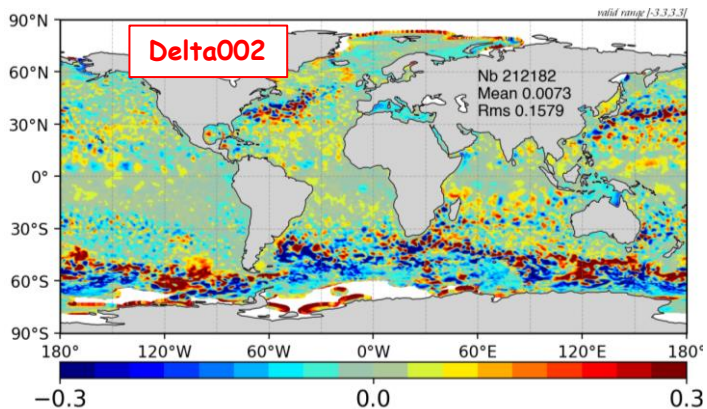
Delta from Ensemble analysis (8 Members)



SSH



iORCA025
01/01/2021
Ens8modes
LETKF



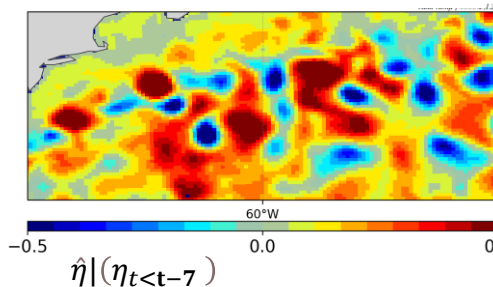
In a LETKF, Ensemble update is computed using the innovation of the ensemble mean

Some results

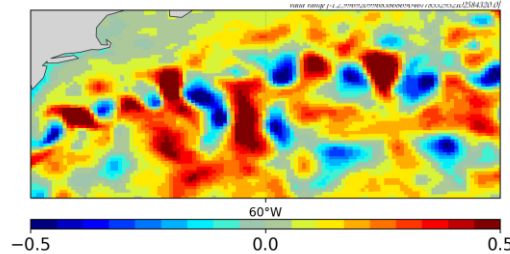
SLA fields at the GS and for the seventh day forecast and AVISO L4 at the same day



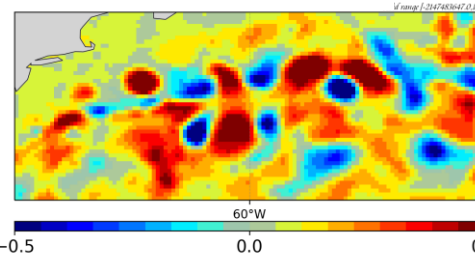
Assim ctl50: 7°d FCST



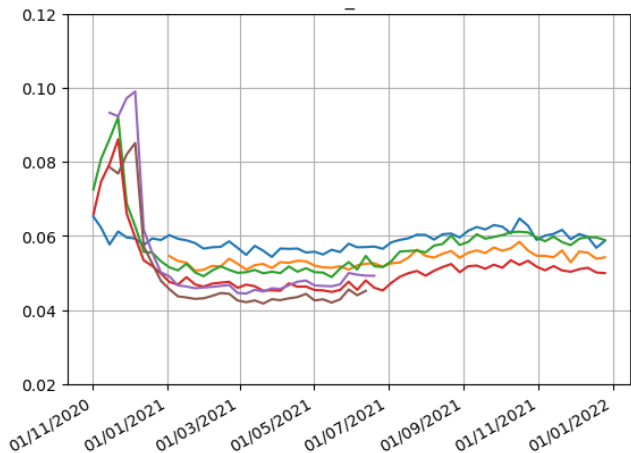
GLO12V4: 7°d FCST



AVISO L4

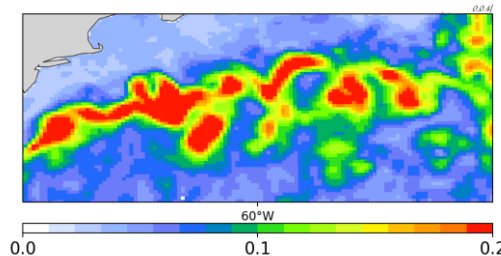


SLA FCST Global rms mistfit

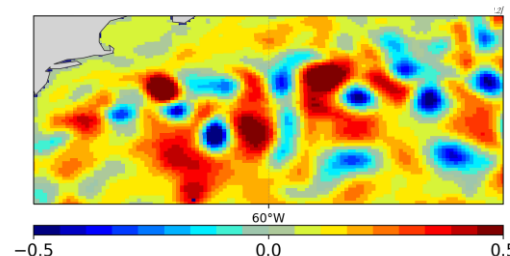


- GLO4V4-run30
- GLO12V4-run30
- assim_16m_M000
- assim_16m_Mean
- assim_50m_M000
- assim_50m_Mean

Ens Std: 7°d FCST

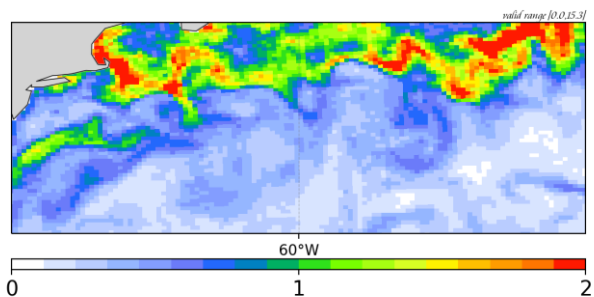


Ens Mean: 7°d FCST

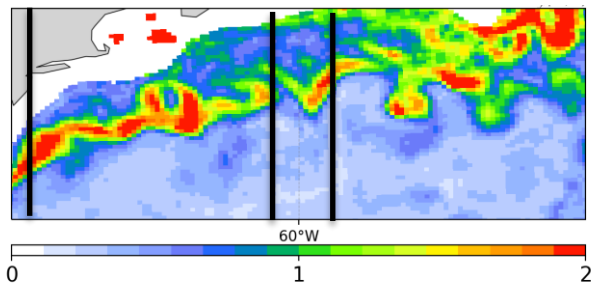


Ensemble spread for the 7th day forecast at the Gulf Stream region

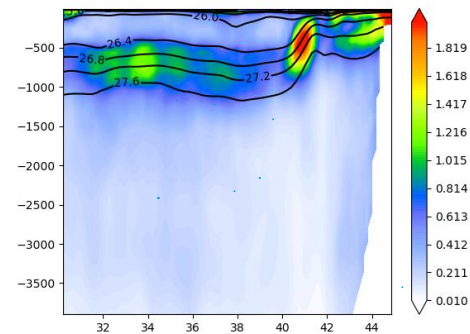
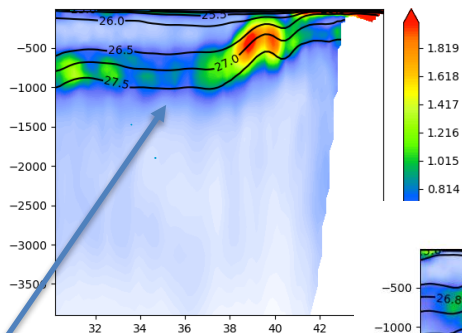
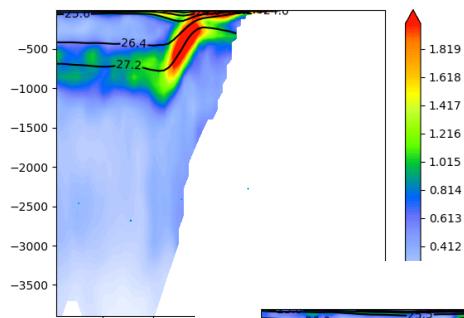
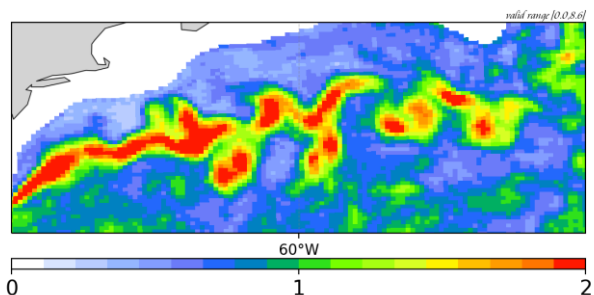
0.5m



221m

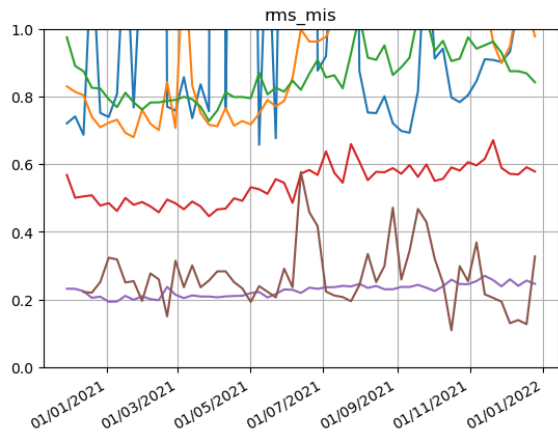


628m



Ensemble spread mostly important in regions of strong gradients

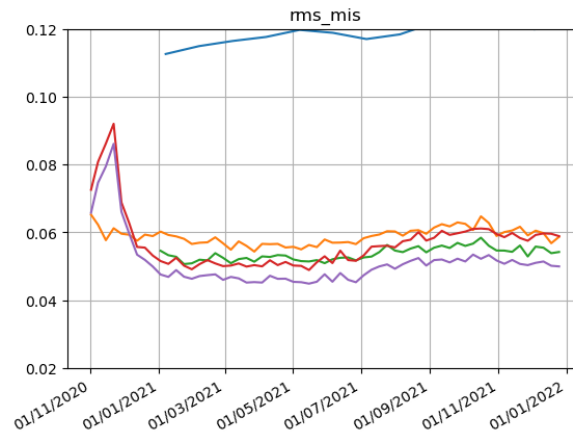
Ensemble reanalysis have drift



- assim_16m_ctl_adap: 0-5m
- assim_16m_ctl_adap: 5-100m
- assim_16m_ctl_adap: 100-300m
- assim_16m_ctl_adap: 300-800m
- assim_16m_ctl_adap: 800-2000m
- assim_16m_ctl_adap: 2000-5000m

TEMP

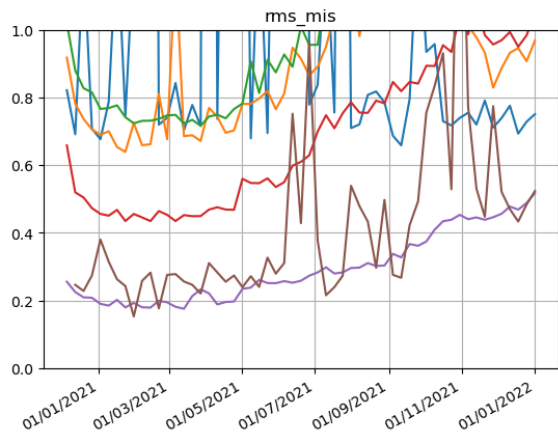
16-members



- free_run
- GLO4V4-run30
- GLO12V4-run30
- assim_16m_M000
- assim_16m_Mean

SLA

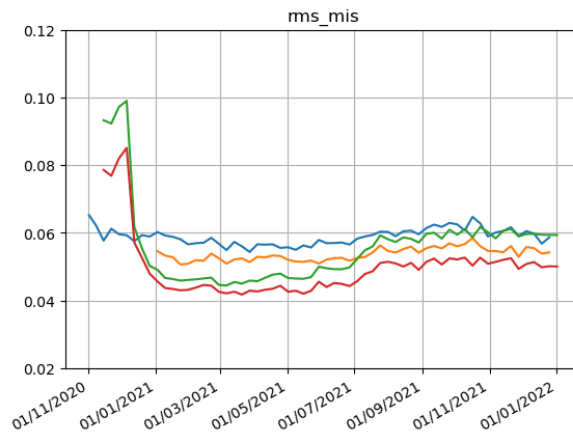
assim_50m_M000
assim_50m_Mean



- assim_50m_ctl_adap: 0-5m
- assim_50m_ctl_adap: 5-100m
- assim_50m_ctl_adap: 100-300m
- assim_50m_ctl_adap: 300-800m
- assim_50m_ctl_adap: 800-2000m
- assim_50m_ctl_adap: 2000-5000m

TEMP

50-members



- GLO4V4-run30
- GLO12V4-run30
- assim_50m_M000
- assim_50m_Mean

SLA

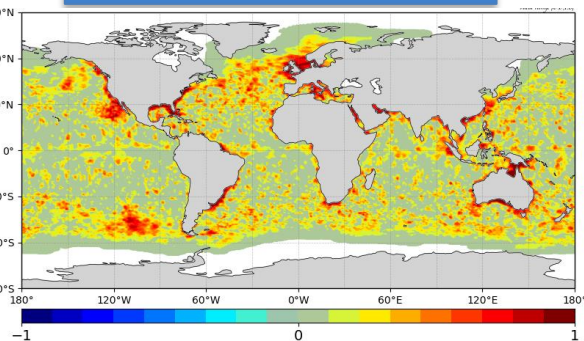
Ensemble reanalysis have drift

$$d^T [\alpha(HS)(HS)^T + R] d = n$$

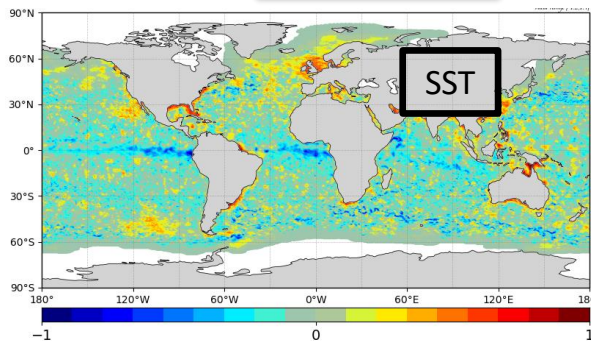
$n = \text{number of independent data}$

from forecast ensemble spread to updated ensemble error

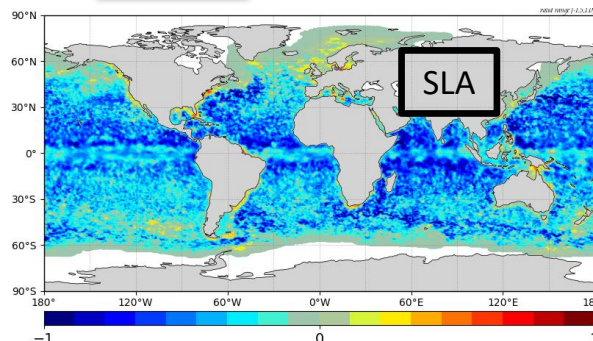
$Pf_0 \Rightarrow$ Adaptive scheme $\Rightarrow Pf = \alpha Pf_0 \Rightarrow$ Analysis $\Rightarrow Pa$



Log (α)



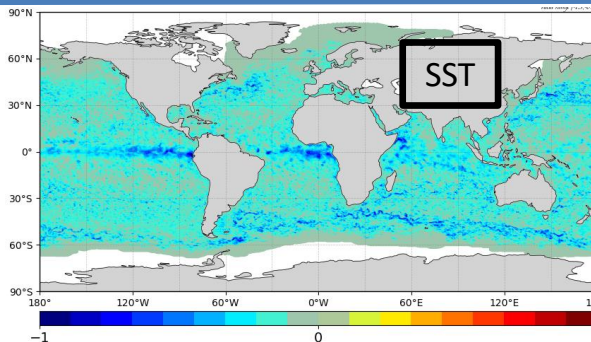
Log (Pa/Pf0)



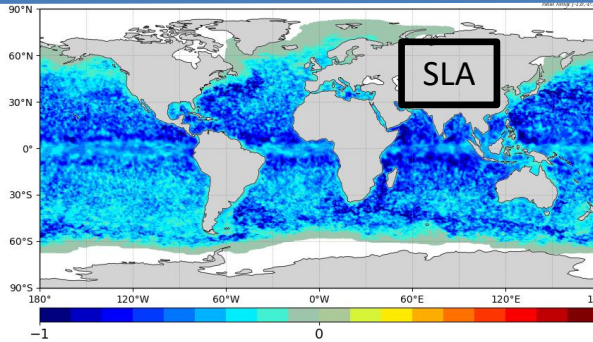
Log (Pa/Pf0)

Ensemble reanalysis without adaptive scheme

$Pf = Pf_0 \Rightarrow$ Analysis $\Rightarrow Pa$

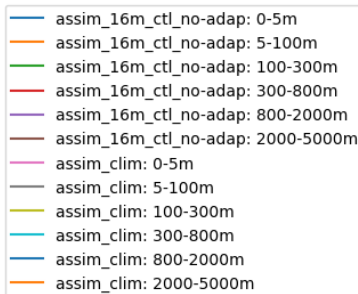
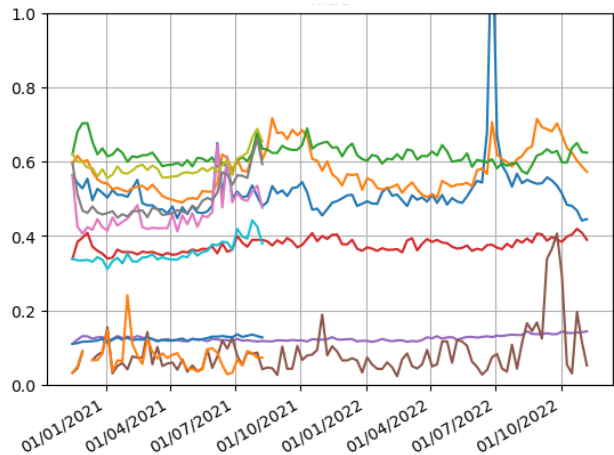


Log (Pa/Pf0)



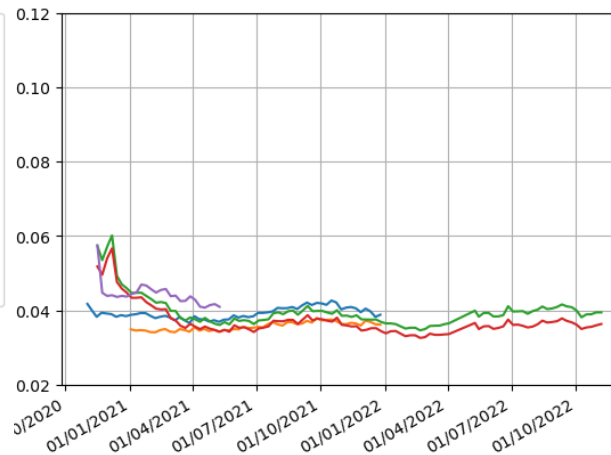
Log (Pa/Pf0)

Temperature
Mean absolute error (MAE)



TEMP

SLA MAE
Mean absolute error (MAE)



SLA

Ensemble reanalysis without adaptive scheme are more stables

Ensemble Forecasting

The system is based on NEMO4.2 at $\frac{1}{4}^\circ$ resolution.

50 members running weekly in a scheme of nowcast (7-days window) followed by a forecast initialized by an analyzed nowcast.

The Local Ensemble Kalman Filter is used in the analysis step and provides 50 perturbed initial conditions conditioned by the observations.

28-days forecasts are forced by the ECMWF extended forecast

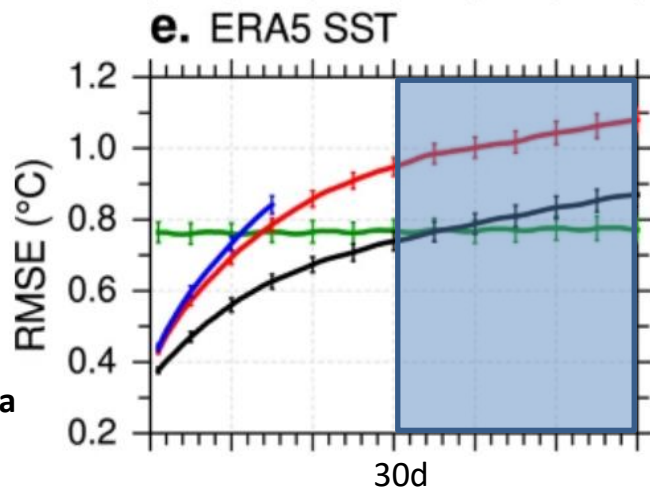
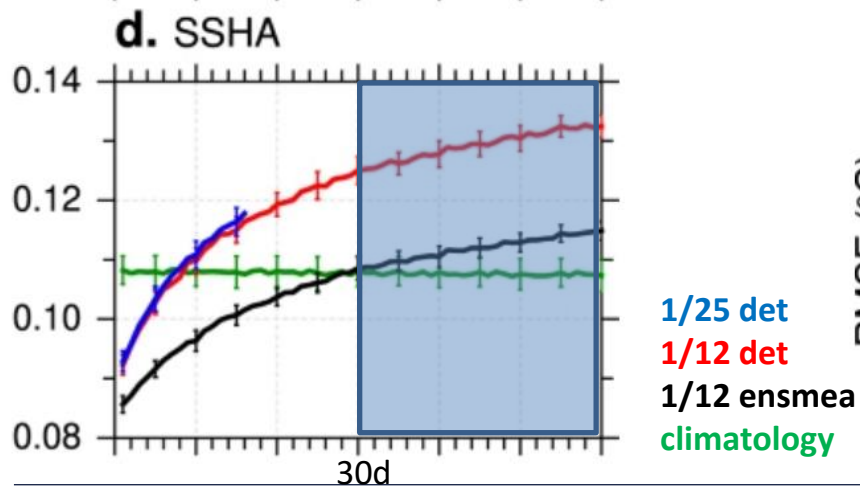
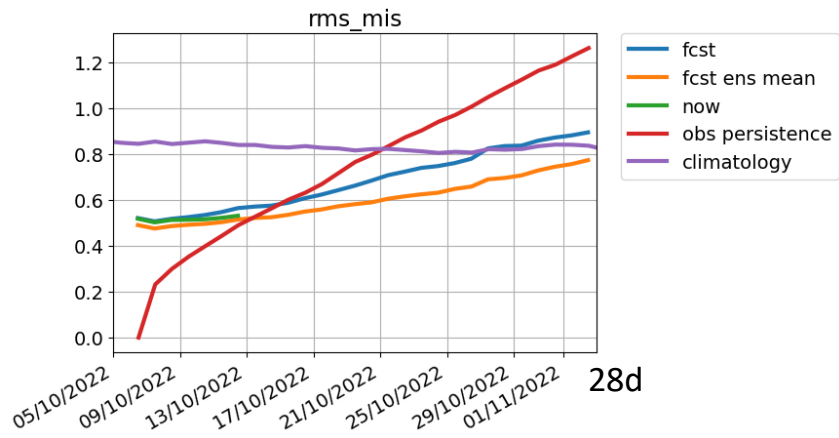
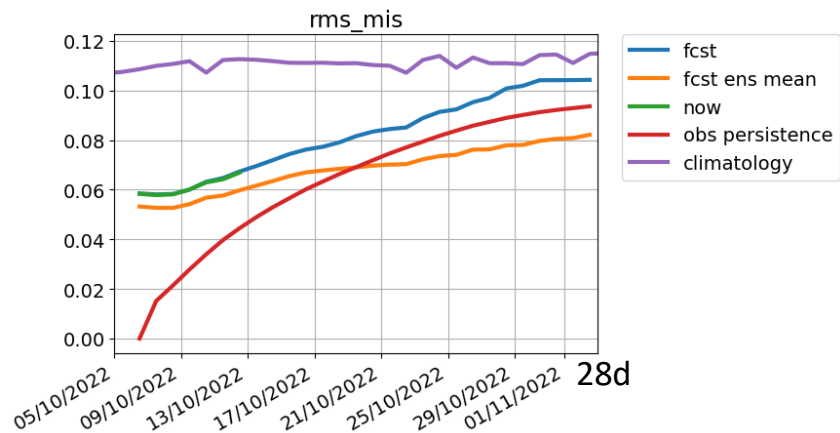
Both nowcasts and forecasts uses stochastic perturbations to model “model errors”

The objective is to demonstrate that the ensemble system can produce informative forecasts for leading times longer than the week for SLA and SST

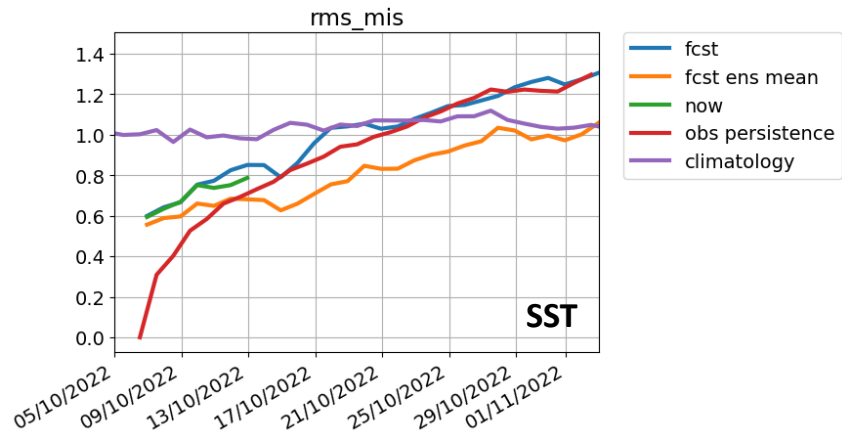
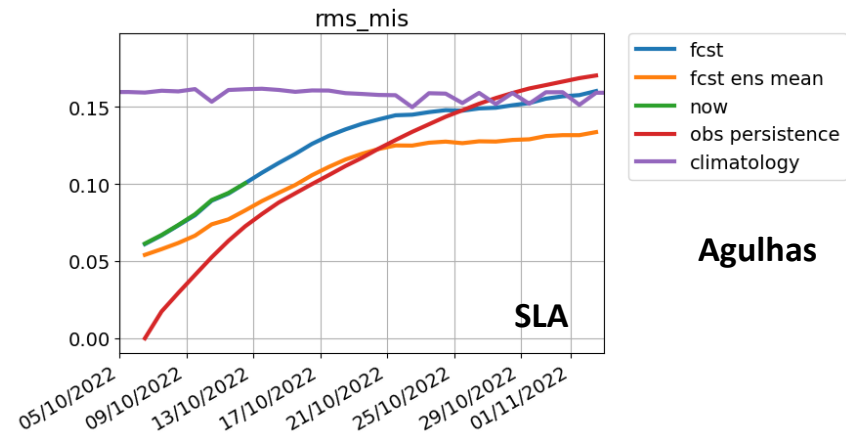
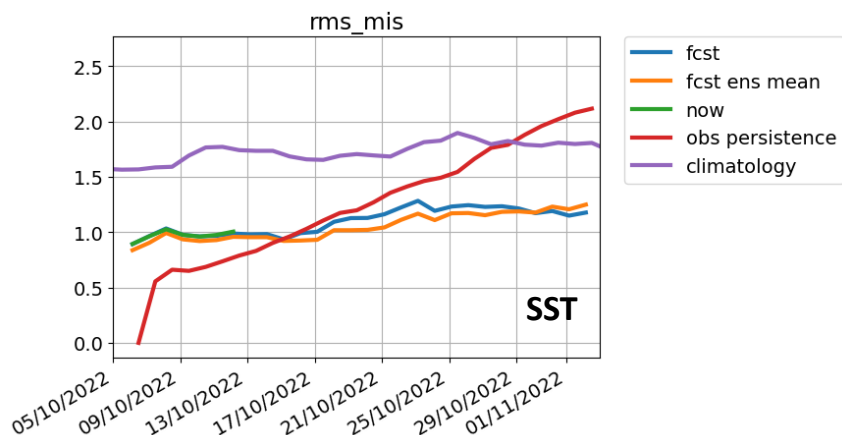
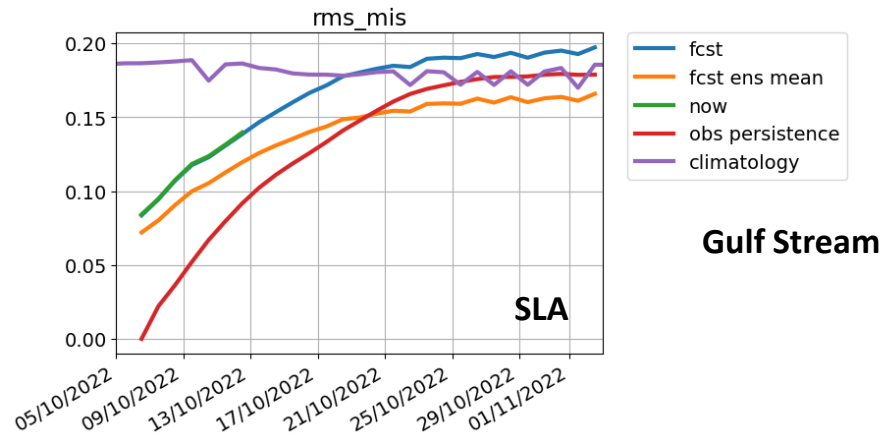
We use two metrics:

Deterministic skill by comparing the rms of the ensemble mean and the control forecasts with the rms of the monthly climatology

Probabilistic skill by calculating the **reduced centered random variable** standard deviation and bias over the forecast



Thoppil, P.G., Frolov, S., Rowley, C.D. et al. Ensemble forecasting greatly expands the prediction horizon for ocean mesoscale variability. *Commun Earth Environ* 2, 89 (2021). <https://doi.org/10.1038/s43247-021-00151-5>



Conclusions and next steps

- An ensemble system within a completely new software architecture has been implemented
- Deterministic scores shows the system agree with the available observations
- Predicted mesoscale uncertainty at key regions is reliable
- Still need to further analyze what is happening for very large scales (>1000km)

- Implementing the 3D-var and/or large time-space scale analysis to the new working env
- Implement features needed to run an operational-like workflow forced by the ECMWF ensemble forecasts
- Final tests of the GLO4-Ens with 3D-var bias correction and fine tuning
- First tests of a multiscale analysis (most technical devs will be finalized this year) → GLO12-ENS4